

## **BROCHURE DISCLOSURE**

Our firm's principal executive officers and management persons are:

### **JAMES CARLTON**

*Managing Director/Chief Investment Officer*

Born 1958

#### Post-Secondary Education

St. Louis University, 1981

Bachelor of Science, Finance and Economics

#### Recent Business Background

Carlton, Hofferkamp and Jenks Wealth Management, LLC 8/2010 - Present  
*Managing Director & Chief Investment Officer*

Private Client Services, a division of Sterling Bank 2/2001 - 8/2010  
*Sr. Vice President & Manager*

Prior to establishing Carlton, Hofferkamp & Jenks Wealth Management, Jim spent nearly 10 years at Sterling Bank in Houston where he became Sr. Vice President and Manager of the bank's Private Client Services Group. Jim began his investment career in 1985 at Merrill Lynch in St. Louis, Mo. He later spent time at Stifel Nicolaus as well as Clayton Asset Management, where he became Chief Investment Officer and managed Clayton Equity Partners investment fund. James Carlton is a Partner of Fantasyland Records LLC, a music recording company.

### **SCOTT HOFFERKAMP, CFS®**

*Principal*

Born 1972

#### Post-Secondary Education

Millikin University, 1994

Bachelor of Arts, Political Science

#### Recent Business Background

Carlton, Hofferkamp and Jenks Wealth Management, LLC 8/2010 - Present  
*Principal*

Purshe Kaplan Sterling Investments, Inc. 8/2010 – 10/2017  
*Registered Representative*

Private Client Services, a division of Sterling Bank 1/2006 - 8/2010  
*Vice President*

Scott began his career advising and guiding investors with investments, risk management, estate planning and asset protection at Morgan Stanley over 21 years ago. Prior to his time at Sterling Bank, Scott was a Vice President and Senior Investment Advisor for Amegy Investments, Inc.

CHJ employees are supervised by Jim Carlton, Managing Director and Chief Investment Officer, (281) 298-2700. Client advice is based on decisions made by the Investment team of Jim Carlton and Scott Hofferkamp.